

# WORKSHEET ONLY – NOT FOR FINAL SUBMISSION

## STATE OF CALIFORNIA OFFICE OF GOVERNOR EDMUND G. BROWN JR.

### APPLICATION FOR APPELLATE COURT APPOINTMENT

**(Attorney form)**

Date: \_\_\_\_\_

1. Name: \_\_\_\_\_  
(List any other names you have used and the dates.)

2. Prior names used by Applicant :

3. Preferred Judicial Position (in the space requesting “division” preference, please type the word “ANY,” unless you are applying for the Second District Court of Appeal, Division 6; or you are applying for any of the divisions of the Fourth District Court of Appeal, in which case you should indicate which division you are applying for):

California Supreme Court \_\_\_\_\_

Court of Appeal, \_\_\_\_\_ Appellate District

Division (if applicable) \_\_\_\_\_

4. Business/Government Title: \_\_\_\_\_  
Firm/Office: \_\_\_\_\_  
Address: \_\_\_\_\_

\_\_\_\_\_ City \_\_\_\_\_ County \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Phone: (\_\_\_\_) \_\_\_\_\_ Email: \_\_\_\_\_

5. Date of Birth: \_\_\_\_\_ Place of Birth: \_\_\_\_\_  
Driver’s License No: \_\_\_\_\_ Social Security No: \_\_\_\_\_  
California Bar Admission Date: \_\_\_\_\_ Bar No.: \_\_\_\_\_  
**(Eligibility requirement:** Member of the California State Bar for 10 years preceding your application.)

6. State law requires the Governor’s Office to collect, on an aggregate statewide basis, demographic data relative to race, ethnicity, disability, veteran status, gender, gender identity and sexual orientation (Gov. Code, §12011.5, subdivision (n)). To assist the Governor’s Office with these reporting obligations, applicants are asked to voluntarily provide this information below.

**YOUR ANSWERS TO THESE QUESTIONS ARE PURELY VOLUNTARY AND YOU MAY FREELY SKIP ANY OR ALL OF THESE QUESTIONS AND GO**

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**DIRECTLY TO QUESTION 7.** If you choose to respond, use the categories below to choose the one(s) with which you most closely identify.

**Please identify your gender:** Male \_\_\_\_\_ Female \_\_\_\_\_

**Please identify your ethnicity:**

- American Indian or Alaska Native: A person having origins in any of the original peoples of North and South America (including Central America), and who maintain tribal affiliation or community attachment.
- Asian: A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent. The category includes, but is not limited to people that identify themselves as Cambodian, Chinese, East Indian, Filipino, Japanese, Korean, Malaysian, Pakistani, Thai, or Vietnamese.
- Black or African American: A person having origins in any of the original peoples of Sub-Saharan Africa.
- Hispanic: A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.
- Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
- White or Caucasian: A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.
- Other: Self-identify your race or ethnicity here \_\_\_\_\_

**Please identify your sexual orientation/gender identity:**

- Heterosexual
- Lesbian
- Gay
- Bisexual
- Transgender

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**Please identify if you are a veteran as that term is defined in Section 101(2) of Title 38 of United States Code:**

Yes

No

**Please identify if you are person with a mental or physical disability as that term is defined in subdivisions (j), (l), and (m) of California Government Code Section 12926:**

Yes

No

7. Residence Address: \_\_\_\_\_

\_\_\_\_\_

City	County	State	Zip
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Phone: (\_\_\_\_) \_\_\_\_\_ Cell: (\_\_\_\_) \_\_\_\_\_

8. List your residence addresses for the past ten years (include approximate dates).

9. Provide the full name, occupation and business address of your spouse, if married, or your domestic partner, if registered, and the names and birth dates of your children, if applicable.

10. If you ever served as a judge, magistrate, commissioner, referee or administrative law judge, were you:

Appointed? \_\_\_\_\_ Date: \_\_\_\_\_

Elected? \_\_\_\_\_ Date: \_\_\_\_\_

11. If you are a naturalized citizen, provide the date and place of naturalization.

12. Are you a registered voter? \_\_\_\_ Yes \_\_\_\_ No County: \_\_\_\_\_

13. List all current and past political party affiliations, with dates: \_\_\_\_\_

\_\_\_\_\_

14. Identify your State Senator and Assembly Member:

Senator: \_\_\_\_\_ Assembly Member: \_\_\_\_\_

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15. Identify any languages other than English that you speak fluently.

16. Educational History (chronological order), beginning with high school:

<u>Schools Attended</u>	<u>From</u>	<u>To</u>	<u>Degree Received</u>	<u>Date Degree Received</u>

a. Set forth your undergraduate major.

b. Set forth any significant extracurricular activities (e.g., student groups, law review, volunteer activities, etc.) in which you participated while in college or law school.

17. Professional Work History including your current job, in inverse chronological order:

Employer (include address and COUNTY):

Position:

Brief summary of the job duties and a description of your typical clients:

Supervisor (include current phone no. and address):

Dates you held the position:

Reason for leaving:

**Provide the following information sequentially on plain, letter-size paper. Each request or question and your response should be typed and single-spaced. It is expected that all of the following requests and questions will be answered fully and honestly. Do not read questions narrowly. If you are uncertain of the information being sought, err on the side of disclosure.**

18. If you previously applied for a judicial appointment, specify the year and position sought.

19. Indicate the periods of your military service, if applicable, including the dates, the branch in which you served, your rank or rate, your serial number and type of discharge.

20. List all courts in which you are presently admitted to practice, including the date of admission in each case. Provide the same information for administrative agencies having special admission requirements.

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21. Describe with specificity the nature of your current practice, including any areas of emphasis and specialization certified by the State Bar of California, and the nature of your typical clients. If your practice is substantially different now from in the past, give the details of your prior practice, including the time periods involved.
22. List the approximate month and year in which you passed the Bar exam in this state and in any other jurisdiction in which you are admitted.
23. With respect to your entire career:
  - a. How many years of civil litigation experience do you have?
  - b. How many years of criminal practice experience do you have?
  - c. State the number of cases you have tried to verdict or judgment in courts of record during your career, including whether you were sole, associate or lead counsel. How many of these cases were (1) civil, (2) criminal, (3) jury and (4) non-jury?
  - d. Estimate the number of depositions you have either taken or defended.
  - e. Estimate the number of dispositive motions you have argued in a trial court.
  - f. Estimate the number of times you argued in an appellate court.
  - g. Provide the citations to all reported cases in which you were counsel of record in the trial or appellate courts.
24. With respect to the past five years:
  - a. What percentage of your practice has been devoted to litigation?
  - b. How frequently have you appeared in court (times per month on average)?
  - c. How frequently have you appeared at administrative hearings (times per month on average)?
  - d. What percentage of your practice involving litigation has been: (1) civil, (2) criminal and (3) administrative hearings?
  - e. What percentage of your appearances was in: (1) federal courts, (2) state courts, (3) administrative agencies and (4) arbitrations?
  - f. List the cases you have tried to verdict or judgment in courts of record during the past five years including whether you were sole, associate or lead counsel. For each case, list the case name and number, court, date, type of case, party represented, name of judge presiding, and the names, current addresses and telephone numbers of counsel for the other parties and the names, current addresses and telephone numbers of co-counsel, if

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applicable. If there were more than five such trials, please limit your list to the last five trials.

- g. List the appeals or other proceedings before appellate courts that you have handled during the past five years, including whether you were sole, associate or lead counsel. For each case, list the case name and number, citation (if published), court, date, type of case, nature of appellate proceeding (e.g., appeal, writ petition), party represented, name of appellate justices, and the names, current addresses and telephone numbers of counsel for the other parties and the names, current addresses and telephone numbers of co-counsel, if applicable. If there were more than five such matters, please limit your list to the last five matters.
25. For your entire career, list 10 significant cases, trial or appellate, in which you have appeared as counsel (which may include one or more of the cases listed in your response to the preceding questions and may include trials, arbitrations, mediations, or cases that were resolved before trial). For each case, furnish copies of appellate briefs of which you were the principal author and provide the name of the case; case or citation number; a brief description of the case; the names, current addresses and telephone numbers of counsel for the other parties; the name of the court and the judge, arbitrator, or judges before whom the case was litigated or appealed; the dates involved; the disposition; whether the case was tried, and, if so, before a judge or jury; whether you were sole, lead or associate counsel; and the names, current addresses and telephone numbers of co-counsel, if applicable.
26. Summarize your trial and appellate experience prior to the last five years. If during any prior period you appeared in court with greater frequency than during the last five years, indicate the periods during which this was so and give for such prior periods the same information which was requested in Question 24.
27. Have you ever taught at a law school? If so, which school? When? What was the nature of your appointment? Which course(s) did you teach?
28. Describe the nature and extent of your service, if any, as a judge pro tem, as a mediator, or as an arbitrator, either privately or through a judicial arbitration program. Describe the more significant cases and identify the counsel involved (including names, current addresses and phone numbers) and dates of your service. Furnish copies of opinions, orders or decisions in those cases which included substantial discussion of legal issues.
29. Describe any non-litigation aspects of your legal experience which you believe are relevant to your qualifications to serve as an appellate court justice.
30. If you are currently serving as a judicial officer, commissioner, or administrative law judge, provide the following information:
  - a. Describe briefly your judicial duties.
  - b. List 10 significant cases in which you presided and provide the following information: name of case, court, tribunal or administrative agency; case number; brief description of

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the case; dates involved; and the names, current addresses and telephone numbers of counsel for the parties. Furnish a copy of any opinions, orders or decisions in these cases which included substantial discussion of legal issues.

31. Have you ever been engaged in any occupation, business or profession other than the practice of law? If so, provide the details, including dates.
32.
  - a. If you are now an officer, director or otherwise engaged in the management of any business enterprise (whether for-profit or non-profit), identify the name of such enterprise, the nature of the business, the title of your position, the nature of your duties, the term of your service and whether you intend to resign such position immediately upon your appointment to judicial office. If you do not intend to resign, please provide the reasons.
  - b. During the past five years, have you received any fees or compensation of any kind, other than for legal services rendered, from any business enterprise, institution, organization or association of any kind? If so, identify the source of such compensation, the nature of the business enterprise, institution, organization or association involved, the dates such compensation was paid, and the nature of any services rendered by you.
33.
  - a. Have you ever held judicial office or been a candidate for judicial office? If so, identify the courts involved, whether elected or appointed, and the dates of service and dates of candidacy.
  - b. If you have had prior quasi-judicial service, provide the name of the agency, the position held, type of matters heard, the number of matters adjudicated, and the dates of service.
34. Have you ever held public office other than a judicial office, or have you ever been a candidate for such an office? If so, provide the details, including the offices involved, whether elected or appointed, and the dates of your service.
35. Have you written, edited or published any legal or non-legal books, articles, letters to editor or reports? If so, please list them, giving full citations, dates, and a general description of the subject matter. Please attach a copy of any letters to editor or op-ed articles you have authored.
36. List any honors, prizes, awards, scholarships, fellowships and other forms of recognition you have received.
37. List all bar associations and legal professional societies in which you are or have been a member and give the titles and dates of any offices you have held and committees on which you served.
38. List all organizations and clubs, other than the bar associations and professional societies identified in response to Item 37, in which you have been a member during the past 15 years,

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including the titles and dates of any offices you have held and committees on which you served.

39. Are you a member of any club, organization or association that by policy or practice prohibits or limits its membership on the basis of race, color, religion, sexual orientation, gender, disability or national origin? Have you ever been? If so, identify the organization and provide details.
40. Describe the nature and extent of any free legal services you have provided to non-profit organizations, indigent individuals and others, including the names and addresses of such organizations and/or individuals where appropriate.
41. Describe the nature and extent of your involvement in community affairs other than those associated with the legal profession.
42. Describe your hobbies and/or personal interests.
43. Have you ever been summoned, cited, arrested, taken into custody, indicted, convicted or tried for, or charged with, or pleaded guilty or no contest to, the violation of any felony or misdemeanor (excluding traffic infractions), or have you ever been requested to appear before any prosecuting attorney or investigative agency in any matter, military or civil? If so, provide the details, including the date, description of the alleged offense, locality and disposition.
44. Have you ever held or applied for a commission, certificate, credential or license which required proof of good character, other than admission to practice law? If so, provide the date of application, issuing authority, disposition of the application, and, if granted, your number and present status.
45.
  - a. Have you ever held a bonded position? If so, specify the nature of the position, date(s) and amount of bond.
  - b. Has anyone ever sought to recover upon your bond or to cancel same?
  - c. Have you been refused bond? Provide the details if you answered yes to either (b) or (c).
46. As an attorney or judicial officer, have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to, any court, administrative agency, bar association, disciplinary committee or other professional group? If so, provide the particulars, including the applicable dates and disposition. (Identify every complaint even if it was dismissed or did not result in disciplinary action.)
47. As a member of any organization, or as a holder of any office or license (including a driver's license), have you ever been suspended, or otherwise disqualified, or had such license suspended or revoked? Have you ever been reprimanded, censured or otherwise disciplined?



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Have any charges, formal or informal, ever been made or filed against you? If so, state the complete facts, dates, disposition and organization in possession of the records thereof.

48. Have you ever been sued by a client? If so, provide the particulars, including the case name and number, court, resolution, and name, current address and telephone number of counsel for the plaintiff.
49. Have you or your professional liability insurance carrier ever settled a claim against you for professional malpractice? If so, provide the particulars, including the amounts involved and name, current address and telephone number of the claimant and claimant's counsel.
50. Have you ever been a party to or claimed an interest in any civil proceedings (including dissolution of marriage, bankruptcy, damage suits, etc.)? If so, provide the particulars, including the case name and number, court, disposition, and names, current addresses and telephone numbers of counsel for the parties in each case.
51. Have you ever been a party or otherwise involved in any other legal proceedings? If so, provide the particulars. Do not list proceedings in which you were merely a guardian ad litem or stakeholder. Include all legal proceedings in which you were a party in interest, a material witness, named as a co-conspirator or co-respondent, and any grand jury investigation in which you were identified as a subject, or in which you appeared as a witness. Provide the names, current addresses and telephone numbers of counsel for the parties in each case.
52. Are there any unsatisfied judgments against you, or are you in default in any way in the performance or discharge of any duty or obligation imposed upon you by decree or order of any court (including any orders for child and spousal support)? If so, state the full details.
53. Have you ever been sanctioned in excess of \$1,000 by any court, or have you ever been cited for contempt of any court or tribunal having the power of contempt? If so, give the details, including dates and names, current addresses and telephone numbers of the judges involved and counsel for the adverse parties.
54. Have you ever been charged in any civil, criminal or administrative action with conduct alleged to involve moral turpitude, dishonesty and/or unethical conduct? If so, provide the particulars, including the applicable dates and names, current addresses and telephone numbers of the counsel for the adverse parties.
55. Has a tax lien or other collection procedure ever been instituted against you by federal, state or local authorities? If so, provide the particulars, including the amount at issue, date and status of the claim or other collection procedure.
56. Have you filed appropriate tax returns as required by federal, state, local and other government agencies? If not, provide an explanation, including the amount at issue, date and resolution.

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57. Are you able, with or without reasonable accommodation, to perform the requirements of an appellate court justice? These requirements include, among other things:
- Attentively receiving, analyzing and concentrating on information for a total of eight or more hours within a work day of reasonable duration;
  - Processing substantial volumes of information, originally presented in written form (e.g., legal briefs, cases, transcripts);
  - Comprehending attorneys and others;
  - Absorbing, analyzing, researching and weighing complex issues quickly and accurately;
  - Responding to situations with discretion, judgment and restraint while under pressure; and
  - Producing a large volume of written decisions in diverse and complex areas of the law.
58. If any articles or allegations have ever been printed or broadcast or otherwise made public concerning you or your activities, views or statements (irrespective of factual accuracy) which may reflect adversely on your character or job performance, identify the material, explain fully, and attach a copy of each publication to this application. (If the volume is extensive, attach representative samples of the publications and specify where the balance may be reviewed.)
59. Are you aware of any individual(s) or group(s) who may oppose your appointment? If so, identify the potential opponents and provide any needed explanation.
60. Describe any aspects of your personal, educational, business or professional conduct or background which may reflect adversely on you or the Governor or might embarrass you or the Governor or which you believe should be disclosed to the Governor in connection with your application for appointment to judicial office.
61. What do you consider the three most important qualities required of an appellate justice? Are any of these qualities different in importance from those required of a trial judge? Please explain why you believe you possess these qualities and how you acquired them.
62. Why do you want to be an appellate court justice? Why do you believe you are qualified? What would you seek to accomplish if appointed?
63. What professional accomplishment are you most proud of?
64. How would you describe your personality?
65. Please list five references (name, current address, telephone number, and a short one-sentence description of your relationship to the reference).
66. Please attach your photograph (optional) and a copy of your resume.

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67. If not already provided in response to earlier questions, please attach samples of your legal writing (such as appellate briefs, memoranda of points and authorities, opinion letters, etc.). The samples should not exceed a total of 75 pages.

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## **CERTIFICATION, AUTHORIZATION AND RELEASE**

I hereby acknowledge and agree that my application may be given to the Commission on Judicial Nominees Evaluation of the State Bar of California (“JNE Commission”) in the event my name is submitted for evaluation by that agency; and that all or portions of my application or the information contained therein may be given to or shared with the judicial evaluation committee of my local bar association (if that association is providing such assistance to the Governor’s Office) and other committees and/or individuals who have been asked by the Governor to assist him in the evaluation of applicants for judicial appointment. I further acknowledge and agree that for the purpose of aiding the Governor in evaluating my background and qualifications, the foregoing organizations and individuals will be entitled to seek and obtain information and documents concerning me from firms, companies, corporations, law schools and other educational institutions, attorneys, judges and other third parties, including those mentioned in my application.

I hereby authorize any governmental, licensing or law enforcement agency, including but not limited to the State Bar of California and the Commission on Judicial Performance, and major national credit reporting organizations (collectively referred to as “agencies”) to release to the Governor of the State of California and to the JNE Commission any and all information which those agencies may have about me (whether public, personal or confidential) for the purpose of aiding the Governor in evaluating my background and qualifications for appointment to the trial or appellate court. I understand that I will not receive and am not entitled to know the contents of confidential reports received from these agencies and I further understand that these reports are privileged, except that I am entitled to receive a copy of reports from major national credit reporting agencies and the Commission on Judicial Performance.

I hereby release and discharge the Governor and his representatives, the JNE Commission, all agencies, their agents and representatives, and any person furnishing information from any and all liability of every nature and kind arising out of the furnishing, use and inspection of documents, records and other information and the investigation of my background and qualifications, and this release shall be binding on my legal representatives, heirs and assignees.

**I hereby declare under penalty of perjury under the laws of the State of California that the answers and statements provided by me in the forgoing application are true and correct.**

Type or \_\_\_\_\_ Signature \_\_\_\_\_  
Print Name

Executed at \_\_\_\_\_ on \_\_\_\_\_, \_\_\_\_\_